

# Introduction to Lie groups, Lie algebras and their representations

## Problems Sheet 3

To hand in: 1, 4, 6, 11\* (\*nonexaminable)

Due: Wednesday, November 15

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### 1. $SO(n)$ and homogeneous polynomials.

Let  $V(d, n)$  denote the space of real homogeneous polynomials of degree  $d$  on  $\mathbb{R}^n$ , with real inner product given by

$$\langle f, g \rangle := \frac{1}{\pi^{n/2}} \int_{\mathbb{R}^n} e^{-x^2} f(\mathbf{x})g(\mathbf{x}) d^n x,$$

where  $x = \|\mathbf{x}\|$ .

- (a) Let  $SO(n)$  denote the matrix Lie group of real orthogonal  $n \times n$  matrices with determinant equal to 1. Given  $R \in SO(n)$ , define

$$\Gamma(R) : V(d, n) \rightarrow V(d, n)$$

by

$$(\Gamma(R)f)(\mathbf{x}) = f(R^{-1}\mathbf{x})$$

for  $f \in V(d, n)$ . Show that  $\Gamma$  is a representation on  $V(d, n)$ , and show that  $\Gamma$  is orthogonal, i.e.

$$\langle \Gamma(R)f, \Gamma(R)g \rangle = \langle f, g \rangle,$$

for all  $f, g \in V(d, n)$ .

- (b) The Lie algebra of  $SO(n)$ , denoted  $\mathfrak{so}(n)$ , is the space of  $n \times n$  antisymmetric matrices. Let  $A \in \mathfrak{so}(n)$ . Define

$$\hat{\Gamma}(A) : V(d, n) \rightarrow V(d, n)$$

by

$$\left( \hat{\Gamma}(A)f \right) (\mathbf{x}) := \left. \frac{\partial}{\partial t} \right|_{t=0} (\Gamma(\exp(-tA))f) (\mathbf{x}).$$

Show that

$$\hat{\Gamma}(A)f = \mathbf{x}^t A \nabla f = \sum_{j,k=1}^n x_j A_{jk} \frac{\partial f}{\partial x^k}.$$

(c) Verify directly that

$$[\hat{\Gamma}(A), \hat{\Gamma}(B)] = \hat{\Gamma}([A, B]).$$

2. Molien series.

Let  $(\Gamma, \mathbb{R}^n)$  be a real representation of a finite group  $G$ . Let  $V(n, d)$  denote the space of homogeneous polynomials on  $\mathbb{R}^n$  of degree  $d$ . A homogeneous polynomial  $f$  is *invariant* under  $G$  if

$$f(\Gamma^T(g)\mathbf{x}) = f(\mathbf{x})$$

for all  $g \in G$ . Let  $N_d$  denote the dimension of the subspace of  $G$ -invariant polynomials  $V(n, d)$ . Show that

$$N_d = \frac{1}{d!} \left. \frac{d}{dt} \right|_{t=0} M(t),$$

where

$$M(t) = \frac{1}{|G|} \sum_{g \in G} \frac{1}{\det(I_n - t\Gamma(g))}.$$

Formally, we may write that

$$M(t) = \sum_{d=0}^{\infty} N_d t^d.$$

$M(t)$  is called the Molien function. It can be defined for compact matrix Lie groups as well. Suggested argument:

- (a) Consider the representation  $\Gamma^d$  of  $G$  on  $(\otimes \mathbb{R}^n)^d$ , the  $d$ -fold tensor product of  $\mathbb{R}^n$  with itself, given by

$$\Gamma^d(g)(\mathbf{x}_1 \otimes \cdots \otimes \mathbf{x}_d) = (\Gamma(g)\mathbf{x}_1) \otimes \cdots \otimes (\Gamma(g)\mathbf{x}_d),$$

and the representation  $\Delta^d$  of the symmetric group  $S_d$  on  $(\otimes \mathbb{R}^n)^d$  given by

$$\Delta^d(\sigma)(\mathbf{x}_1 \otimes \cdots \otimes \mathbf{x}_d) = \mathbf{x}_{\sigma^{-1}(1)} \otimes \cdots \otimes \mathbf{x}_{\sigma^{-1}(d)}.$$

Show that the space of  $G$ -invariant homogenous polynomials of degree  $d$  may be identified with the subspace of  $(\otimes \mathbb{R}^n)^d$  which is invariant under both  $\Gamma^d$  and  $\Delta^d$ .

- (b) Following the example of Problem Sheet 2.11, show that the projector  $P^d$  onto the subspace of  $(\otimes \mathbb{R}^n)^d$  invariant under  $\Gamma^d$  and  $\Delta^d$  is given by

$$P^d = \frac{1}{|G|} \sum_{g \in G} \frac{1}{d!} \sum_{\sigma \in S_d} \Gamma^d(g) \Delta^d(\sigma).$$

Then argue that

$$N_d = \text{Tr } P^d.$$

- (c) If  $\sigma$  has a decomposition into disjoint cycles with  $m_1$  cycles of length 1,  $m_2$  cycles of length 2, and so on up to  $m_d$  cycles of length  $d$ , where  $m_j \geq 0$ , show that

$$\text{Tr}(\Gamma^d(g) \Delta^d(\sigma)) = (\text{Tr } \Gamma(g))^{m_1} (\text{Tr } \Gamma^2(g))^{m_2} \cdots (\text{Tr } \Gamma^d(g))^{m_d}.$$

- (d) Show that the number of permutations with cycle decomposition  $(m_1, \dots, m_d)$  is given by

$$\frac{d!}{1^{m_1} 2^{m_2} \cdots d^{m_d} m_1! \cdots m_d!}.$$

(e) Using the formula

$$\det(I - M) = \exp(\operatorname{Tr}(\log(I - M))) = \prod_{j=1}^{\infty} \exp\left(-\frac{\operatorname{Tr} M^j}{j}\right),$$

show that

$$\sum_{d=0}^{\infty} N_d t^d = \frac{1}{|G|} \sum_{g \in G} \frac{1}{\det(I_n - t\Gamma(g))}.$$

3. Show that  $SU(n)$  is not a simple group. Show that  $\mathfrak{su}(n)$  is a simple Lie algebra.
4. Suppose that  $H \subset G$  is a normal subgroup and that  $H$  is a matrix Lie group in its own right, with Lie algebra  $\mathfrak{h}$ . Show that  $\mathfrak{h} \subset \mathfrak{g}$  is an ideal.
5. Let  $\Gamma : G \rightarrow GL(V)$  be a representation of a matrix Lie group  $G \subset GL(n, \mathbb{C})$  on a vector space  $V$ . Show that if  $\Gamma$  is continuous, then it is also smooth (i.e., infinitely differentiable). More explicitly, if  $\Phi : U \subset \mathbb{R}^d \rightarrow V_I$  is a smooth parameterisation of a neighbourhood  $V_I$  of the identity in  $GL(n, \mathbb{C})$ , then  $\Gamma \circ \Phi : U \rightarrow GL(V)$  is smooth.

(Suggested plan: Introduce a basis on  $V$  and use the matrix exponential and logarithm to define a map  $\hat{\Gamma} : \mathfrak{g} \rightarrow \mathbb{C}^{r \times r}$ , where  $r = \dim V$ . Then show that

- (a) for all  $t$ ,  $\Gamma(\exp ta) = \exp(t\hat{\Gamma}(a))$  (you may want to show that matrices close to the identity have a unique  $q$ th root that is also close to the identity).
  - (b) Using the result of (a) along with the Baker-Campbell-Hausdorff theorem, show that  $\hat{\Gamma}$  is linear (and therefore smooth).
  - (c) The fact that  $\Gamma \circ \Phi : U \rightarrow GL(V)$  is smooth is then a more or less immediate consequence of the preceding results.)
6. Let  $(\Gamma, V)$  be a representation of a matrix Lie group  $G$  on a vector space  $V$ , and let  $\hat{\Gamma} : \mathfrak{g} \rightarrow L(V)$  be the representation of its Lie algebra given by (cf Theorem 7.6)

$$\hat{\Gamma}(a) = \left. \frac{d}{dt} \right|_{t=0} \Gamma(\exp ta).$$

Prove Proposition 7.8: Show that if  $\Gamma$  is reducible, so is  $\hat{\Gamma}$ .

7. As in the previous problem, let  $(\Gamma, V)$  be a representation of a matrix Lie group  $G$ , and let  $(\hat{\Gamma}, V)$  denote the representation of its Lie algebra given by (cf Theorem 7.6)

$$\hat{\Gamma}(a) = \left. \frac{d}{dt} \right|_{t=0} \Gamma(\exp ta).$$

Suppose that  $G$  is connected. Show that  $\Gamma$  may be expressed in terms of  $\hat{\Gamma}$ . Then show that if  $\hat{\Gamma}$  is reducible, then  $\Gamma$  is reducible.

8. Prove Theorem 9.10: Let  $\mathfrak{g}$  be a compact simple Lie algebra of a matrix Lie group  $G$ . Show that all Cartan subalgebras of  $\mathfrak{g}$  are conjugate; that is, if  $\mathfrak{h}_1$  and  $\mathfrak{h}_2$  are Cartan subalgebras, then

$$\mathfrak{h}_2 = \operatorname{Ad}_A \mathfrak{h}_1 = A\mathfrak{h}_1 A^{-1}$$

for some  $A \in G$ . (Note: it is *not* the case that individual elements of  $\mathfrak{h}_1$  and  $\mathfrak{h}_2$  are necessarily conjugate. Indeed, a necessary condition for  $h_1 = \operatorname{Ad}_A h_2$  is that  $h_1$  and  $h_2$  have the same eigenvalues.)

Remarks: Here is an outline of a proof:

- (a) Show that if  $\mathfrak{h}$  is a Cartan subalgebra, there exists  $h_* \in \mathfrak{h}$  such that  $\mathfrak{h} = \ker \text{ad}_{h_*}$ . In other words,  $a \in \mathfrak{h}$  if and only if  $[a, h_*] = 0$ . (Note: this is clearly not true for all  $h \in \mathfrak{h}$ . Trivially it is not true if  $h = 0$ , but it also fails to hold for certain nonzero (degenerate) elements of  $\mathfrak{h}$ .)
- (b) With  $h_{1*} \in \mathfrak{h}_1$  chosen as in (a) above, show that there exists an  $A_* \in G$  such that  $\text{Ad}_{A_*} h_{1*} \in \mathfrak{h}_2$ . Suggestion: consider the following variational argument. Choose  $h_{2*} \in \mathfrak{h}_2$  as in (a) above, and consider the squared distance (defined with respect to the standard inner product) between  $\text{Ad}_A h_{1*}$  and  $h_{2*}$ , which is given by

$$s^2(A) = \langle \text{Ad}_A h_{1*} - h_{2*}, \text{Ad}_A h_{1*} - h_{2*} \rangle.$$

Explain why  $s^2$  has a minimum, say at  $A = A_*$ , and show that  $\text{Ad}_{A_*} h_{1*} \in \mathfrak{h}_2$ .

- (c) Using the results of (a) and (b), show that  $\text{Ad}_{A_*} \mathfrak{h}_1 = \mathfrak{h}_2$ .
9. Let  $B(j, k)$  denote the  $n \times n$  matrix with a single nonzero element, the  $(j, k)$ th element, which is equal to one. That is, if  $[M]_{rs}$  denotes the  $(r, s)$ th element of  $M$ , then

$$[B(j, k)]_{rs} = \delta_{jr} \delta_{ks}.$$

Show that the  $B(j, k)$ 's form an orthonormal basis for  $\mathbb{C}^{n \times n}$ , and that

$$B(j, k)B(l, m) = \delta_{kl} B(j, m).$$

#### 10. Dynkin diagram for $\mathfrak{su}(n)$

$\mathfrak{su}(n)$  is the Lie algebra of  $n \times n$  traceless antihermitian matrices.

- (a) Show that a Cartan subalgebra  $\mathfrak{h} \subset \mathfrak{su}(n)$  may taken to be the set of  $n \times n$  traceless, diagonal purely imaginary matrices. Verify that the rank of  $\mathfrak{su}(n)$  is  $n - 1$ .
- (b) Show that an orthonormal basis for  $\mathfrak{h}$  is given by

$$[h(m) = iN_m \left( \sum_{a=1}^m B(a, a) - mB(m+1, m+1) \right), \quad N_m = (m(m+1))^{-1/2}.$$

- (c) Show that  $\mathfrak{su}_{\mathbb{C}}(n)$ , the complexification of  $\mathfrak{su}(n)$ , is the space of  $n \times n$  traceless complex matrices.
- (d) Show that

$$\hat{h}(m)B(j, k) = iN_m \left\{ \sum_{a=1}^m (\delta_{ja} - \delta_{ka}) - m(\delta_{j, m+1} - \delta_{k, m+1}) \right\} B(j, k).$$

Hence show that the  $B(j, k)$ 's with  $j \neq k$  together with the  $h(m)$ 's form a complete set of orthonormal eigenvectors of the adjoint representation of  $\mathfrak{h}$ , and that the roots of  $\mathfrak{su}(n)$  are given

$$\alpha(j, k) = - \left( \frac{j-1}{j} \right)^{1/2} \widehat{\mathbf{j}-\mathbf{1}}, + \sum_{l=j}^{k-2} N(l) \hat{\mathbf{l}} + \left( \frac{k}{k-1} \right)^{1/2} \widehat{\mathbf{k}-\mathbf{1}}, \quad j < k,$$

where  $\hat{l}$  denotes the  $l$ th coordinate unit-vector in  $\mathbb{R}^d$ , and  $\widehat{\mathbf{0}}$  is defined to be 0.

$$\alpha(j, k) = -\alpha(k, j), \quad j > k.$$

- (e) Let us introduce a different convention for positivity of roots in the case of  $\mathfrak{su}(n)$ . We will say that  $\alpha$  is *positive* if the last nonzero element of  $\alpha$  is positive. Thus,  $\alpha(j, k)$  is positive if and only if  $j < k$ . Show that the simple roots are given by

$$\alpha(j, j+1) = -\left(\frac{j-1}{j}\right)^{1/2} \widehat{\mathbf{j}-\mathbf{1}}, + \left(\frac{j+1}{j}\right)^{1/2} \widehat{\mathbf{j}}, \quad 1 \leq j \leq n-1.$$

- (f) Show that

$$\alpha(j, j+1) \cdot \alpha(k, k+1) = \begin{cases} 2, & j = k, \\ -1, & |j - k| = 1, \\ 0, & \text{otherwise} \end{cases}$$

- (g) Hence show that the angle between consecutive simple roots is  $120^\circ$ , and that all simple roots have the same length. Thus, the Dynkin diagram of  $\mathfrak{su}(n)$  is given by Figure 1.

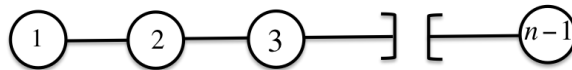


Figure 1: Dynkin diagram for  $\mathfrak{su}(n)$ .

#### 11. Dynkin diagram for $\mathfrak{so}(2n)$

$\mathfrak{so}(2n)$  is the Lie algebra of  $2n \times 2n$  real antisymmetric matrices.

It is convenient to represent  $\mathbb{R}^{2n}$  as the tensor product  $\mathbb{R}^n \otimes \mathbb{R}^2$ . Then  $\mathbb{R}^{2n \times 2n}$  corresponds to the tensor product  $\mathbb{R}^{n \times n} \otimes \mathbb{R}^{2 \times 2}$ . For  $M \otimes S \in \mathbb{R}^{n \times n} \otimes \mathbb{R}^{2 \times 2}$ , the transpose is defined by

$$(M \otimes S)^T = M^T \otimes S^T.$$

The trace is given by

$$\text{tr}(M \otimes S) = (\text{tr } M)(\text{tr } S).$$

The inner product is given by

$$\langle M \otimes S, N \otimes T \rangle = \langle M, N \rangle \langle S, T \rangle.$$

A basis for  $\mathbb{R}^{n \times n} \otimes \mathbb{R}^{2 \times 2}$  is given by

$$B(j, k) \otimes I_2, \quad B(j, k) \otimes \sigma_1, \quad B(j, k) \otimes i\sigma_2, \quad B(j, k) \otimes \sigma_3, \quad \text{where } 1 \leq j, k \leq n,$$

where  $B(j, k)$  is defined in Question 9. It follows that

$$\begin{aligned} (B(j, k) \otimes I_2)^T &= B(k, j) \otimes I_2, \\ (B(j, k) \otimes \sigma_1)^T &= B(k, j) \otimes \sigma_1, \\ (B(j, k) \otimes i\sigma_2)^T &= -B(k, j) \otimes i\sigma_2, \\ (B(j, k) \otimes \sigma_3)^T &= B(k, j) \otimes \sigma_3. \end{aligned}$$

(a) Show that a real orthonormal basis for  $\mathfrak{so}(2n)$  is given by the following:

$$\begin{aligned} a^1(j, k) &= \frac{1}{2} (B(j, k) - B(k, j)) \otimes \sigma_3, \quad 1 \leq j < k \leq n, \\ a^2(j, k) &= \frac{1}{2} (B(j, k) - B(k, j)) \otimes \sigma_1, \quad 1 \leq j < k \leq n, \\ b^1(j, k) &= \frac{1}{2} (B(j, k) + B(k, j)) \otimes i\sigma_2, \quad 1 \leq j < k \leq n, \\ b^2(j, k) &= \frac{1}{2} (B(j, k) - B(k, j)) \otimes I_2, \quad 1 \leq j < k \leq n, \\ h(m) &= \frac{1}{\sqrt{2}} B(j, j) \otimes i\sigma_2, \quad 1 \leq j \leq n, \end{aligned}$$

(b) Show that a Cartan subalgebra for  $\mathfrak{so}(2n)$  may be taken to be the span of the elements  $h(m)$ . Thus,  $\mathfrak{so}(2n)$  has rank  $n$ .

(c) Show that simultaneous eigenvectors of  $\hat{h}(m)$  with nonzero eigenvalues are given by

$$\begin{aligned} a^\pm(j, k) &= a^1(j, k) \pm ia^2(j, k), \quad 1 \leq j < k \leq n, \\ b^\pm(j, k) &= b^1(j, k) \pm ib^2(j, k), \quad 1 \leq j < k \leq n, \end{aligned}$$

and that these, together with the  $h(m)$ 's, span  $\mathfrak{so}_{\mathbb{C}}(2n)$ . Show that the corresponding roots are

$$\begin{aligned} \alpha^\pm(j, k) &= \pm \frac{1}{\sqrt{2}} (\hat{\mathbf{j}} + \hat{\mathbf{k}}), \\ \beta^\pm(j, k) &= \pm \frac{1}{\sqrt{2}} (\hat{\mathbf{j}} - \hat{\mathbf{k}}), \end{aligned}$$

(d) Show that the simple roots of  $\mathfrak{so}(2n)$  are given by

$$\begin{aligned} \alpha^+(n-1, n) &= \frac{1}{\sqrt{2}} (\widehat{\mathbf{n}-\mathbf{1}} + \hat{\mathbf{n}}), \\ \beta^+(j, j+1) &= \frac{1}{\sqrt{2}} (\hat{\mathbf{j}} - \widehat{\mathbf{j}+\mathbf{1}}), \quad 1 \leq j \leq n-1. \end{aligned}$$

(e) Show that the inner products of the simple roots are given by

$$\begin{aligned} \beta^+(j, j+1) \cdot \beta^+(k, k+1) &= \begin{cases} 1, & j = k \\ -1/2, & |j - k| = 1, \\ 0, & \text{otherwise,} \end{cases} \\ \alpha^+(n-1, n) \cdot \alpha^+(n-1, n) &= 1, \\ \alpha^+(n-1, n) \cdot \beta^+(j-1, j) &= \begin{cases} -1/2, & j = n-1, \\ 0, & \text{otherwise.} \end{cases} \end{aligned}$$

(f) Show that the Dynkin diagram for  $\mathfrak{so}(2n)$  is given by Figure 2.

## 12. Dynkin diagram for $\mathfrak{so}(2n+1)$

$\mathfrak{so}(2n+1)$  is the Lie algebra of  $(2n+1) \times (2n+1)$  real antisymmetric matrices.

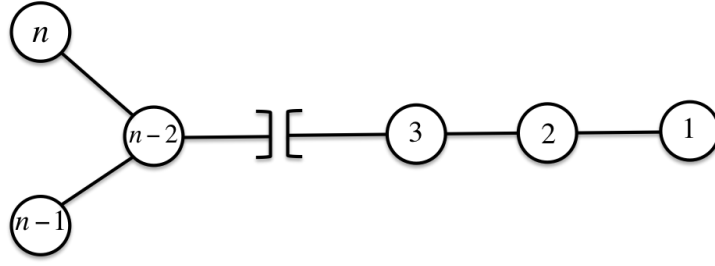


Figure 2: Dynkin diagram for  $\mathfrak{so}(2n)$

- (a) Let  $\mathbf{f}_{(1)}, \dots, \mathbf{f}_{(2n+2)}$  denote the standard orthonormal basis on  $\mathbb{R}^{2n+2}$ . Show that  $\mathfrak{so}(2n+1)$  may be identified with the subset of  $\mathfrak{so}(2n+2)$  which has  $\mathbf{f}_{(2n+2)}$  as a null vector.
- (b) Show that the space of real  $2 \times 2$  matrices that have  $(0, 1)^T$  as a null vector is spanned by

$$P = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix},$$

$$Q = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix},$$

Show that

$$P i\sigma_2 = Q^T,$$

$$Q i\sigma_2 = -P,$$

so that

$$i\sigma_2 P = -Q,$$

$$i\sigma_2 Q^T = P.$$

- (c) With reference to the preceding identification, show that a real orthonormal basis for  $\mathfrak{so}(2n+1)$  is given by the following:

$$a^1(j, k) = \frac{1}{2} (B(j, k) - B(k, j)) \otimes \sigma_3, \quad 1 \leq j < k \leq n,$$

$$a^2(j, k) = \frac{1}{2} (B(j, k) - B(k, j)) \otimes \sigma_1, \quad 1 \leq j < k \leq n,$$

$$b^1(j, k) = \frac{1}{2} (B(j, k) + B(k, j)) \otimes i\sigma_2, \quad 1 \leq j < k \leq n,$$

$$b^2(j, k) = \frac{1}{2} (B(j, k) - B(k, j)) \otimes I_2, \quad 1 \leq j < k \leq n,$$

$$c^1(j) = \frac{1}{\sqrt{2}} (B(j, n+1) - B(n+1, j)) \otimes P, \quad 1 \leq j \leq n,$$

$$c^2(j) = \frac{1}{\sqrt{2}} (B(j, n+1) \otimes Q - B(n+1, j) \otimes Q^T), \quad 1 \leq j \leq n,$$

$$h(m) = \frac{1}{\sqrt{2}} B(j, j) \otimes i\sigma_2, \quad 1 \leq j \leq n.$$

- (d) Show that a Cartan subalgebra for  $\mathfrak{so}(2n+1)$  may be taken to be the span of the elements  $h(m)$ . Thus,  $\mathfrak{so}(2n+1)$  has rank  $n$ .

(e) Show that simultaneous eigenvectors of  $\hat{h}(m)$  with nonzero eigenvalues are given by

$$\begin{aligned} a^\pm(j, k) &= a^1(j, k) \pm ia^2(j, k), \quad 1 \leq j < k \leq n, \\ b^\pm(j, k) &= b^1(j, k) \pm ib^2(j, k), \quad 1 \leq j < k \leq n, \\ c^\pm(j) &= c^1(j) \pm ic^2(j), \quad 1 \leq j \leq n, \end{aligned}$$

and that these, together with the  $h(m)$ 's, span  $\mathfrak{so}_\mathbb{C}(2n+1)$ . Show that the corresponding roots are

$$\begin{aligned} \alpha^\pm(j, k) &= \pm \frac{1}{\sqrt{2}} (\hat{\mathbf{j}} + \hat{\mathbf{k}}), \\ \beta^\pm(j, k) &= \pm \frac{1}{\sqrt{2}} (\hat{\mathbf{j}} - \hat{\mathbf{k}}), \\ \gamma^\pm(j) &= \pm \frac{1}{\sqrt{2}} \hat{\mathbf{j}}. \end{aligned}$$

(f) Show that the simple roots of  $\mathfrak{so}(2n+1)$  are given by

$$\begin{aligned} \beta^+(j, j+1) &= \frac{1}{\sqrt{2}} (\hat{\mathbf{j}} - \widehat{\mathbf{j}+1}), \quad 1 \leq j \leq n-1, \\ \gamma^+(n) &= \frac{1}{\sqrt{2}} \hat{\mathbf{n}}. \end{aligned}$$

(g) Show that the inner products of the simple roots are given by

$$\begin{aligned} \beta^+(j, j+1) \cdot \beta^+(k, k+1) &= \begin{cases} 1, & j = k \\ -1/2, & |j - k| = 1, \\ 0, & \text{otherwise,} \end{cases} \\ \gamma^+(n) \cdot \gamma^+(n) &= 1/2, \\ \gamma^+(n) \cdot \beta^+(j-1, j) &= \begin{cases} -1/2, & j = n-1, \\ 0, & \text{otherwise.} \end{cases} \end{aligned}$$

(h) Show that the Dynkin diagram for  $\mathfrak{so}(2n+1)$  is given by Figure 3.

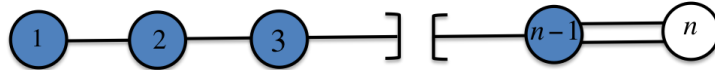


Figure 3: Dynkin diagram for  $\mathfrak{so}(2n+1)$

### 13. Dynkin diagram for $\mathfrak{usp}(2n)$

It is convenient to represent  $\mathbb{C}^{2n}$  as the tensor product  $\mathbb{C}^n \otimes \mathbb{C}^2$ . Then  $\mathbb{C}^{2n \times 2n}$  corresponds to the tensor product  $\mathbb{C}^{n \times n} \otimes \mathbb{C}^{2 \times 2}$ . For  $M \otimes S \in \mathbb{C}^{n \times n} \otimes \mathbb{C}^{2 \times 2}$ , the hermitian conjugate is defined by

$$(M \otimes S)^\dagger = M^\dagger \otimes S^\dagger.$$

The transpose is defined by

$$(M \otimes S)^T = M^T \otimes S^T.$$



The trace is given by

$$\operatorname{tr}(M \otimes S) = (\operatorname{tr} M)(\operatorname{tr} S).$$

The inner product is given by

$$\langle M \otimes S, N \otimes T \rangle = \langle M, N \rangle \langle S, T \rangle.$$

A basis for  $\mathbb{C}^{n \times n} \otimes \mathbb{C}^{2 \times 2}$  is given by

$$B(j, k) \otimes I_2, \quad B(j, k) \otimes \sigma_t, \quad \text{where } 1 \leq j, k \leq n, \quad 1 \leq t \leq 3,$$

where  $B(j, k)$  is defined in Question 9. It follows that

$$\begin{aligned} (B(j, k) \otimes I_2)^\dagger &= (B(j, k)^T \otimes I_2^T) = B(k, j) \otimes I_2, \\ (B(j, k) \otimes \sigma_t)^\dagger &= (B(j, k)^T \otimes \sigma_t^\dagger) = B(k, j) \otimes \sigma_t. \end{aligned}$$

Let  $J \in \mathbb{C}^{n \times n} \otimes \mathbb{C}^{2 \times 2}$  be given by

$$J = I_n \otimes i\sigma_2 = I_n \otimes \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

The unitary symplectic Lie algebra,  $\operatorname{usp}(2n)$ , consists of antihermitian matrices  $s \in \mathbb{C}^{n \times n} \otimes \mathbb{C}^{2 \times 2}$  that satisfy

$$sJ + Js^T = 0,$$

- (a) Let  $a \in \mathbb{C}^{2 \times 2}$ . Show that  $a(i\sigma_2) + (i\sigma_2)a^T = 0$  if and only if  $a$  is traceless, while  $a(i\sigma_2) - (i\sigma_2)a^T = 0$  if and only if  $a$  is a multiple of the identity.
- (b) Show that a (real) orthonormal basis for  $\operatorname{usp}(2n)$  is given by the following:

$$\begin{aligned} &\frac{1}{2}(B(j, k) + B(k, j)) \otimes i\sigma_t, \quad 1 \leq j < k \leq n, \quad 1 \leq t \leq 3, \\ &\frac{1}{\sqrt{2}}B(m, m) \otimes i\sigma_t, \quad 1 \leq m \leq n, \quad 1 \leq t \leq 3, \\ &\frac{1}{2}(B(j, k) - B(k, j)) \otimes I_2 \quad 1 \leq j < k \leq n. \end{aligned}$$

- (c) Show that a Cartan subalgebra for  $\operatorname{usp}(2n)$  may be taken to be the span of the elements

$$h(m) = \frac{1}{\sqrt{2}}B(m, m) \otimes i\sigma_3, \quad 1 \leq m \leq n,$$

so that the rank of  $\operatorname{usp}(2n)$  is  $n$ . Verify that the  $h(m)$ 's are orthonormal.

- (d) Show that simultaneous eigenvectors of  $\hat{h}(m)$  with nonzero eigenvalues are given by

$$\begin{aligned} a^\pm(j, k) &= \frac{1}{2\sqrt{2}}(B(j, k) + B(k, j)) \otimes (i\sigma_1 \mp \sigma_2), \quad 1 \leq j < k \leq n, \\ b^\pm(j, k) &= \frac{i}{2\sqrt{2}}(B(j, k) \otimes (i\sigma_3 \pm I_2) + B(k, j) \otimes (i\sigma_3 \mp I_2)), \quad 1 \leq j < k \leq n, \\ c^\pm(j) &= \frac{i}{2}B(j, j) \otimes (i\sigma_1 \mp \sigma_2), \end{aligned}$$

and that these, together with the  $h(m)$ 's, span  $\mathfrak{usp}_{\mathbb{C}}(2n)$ . Show that the corresponding roots

$$\begin{aligned}\alpha^{\pm}(j, k) &= \pm \frac{1}{\sqrt{2}} (\hat{\mathbf{j}} + \hat{\mathbf{k}}), \\ \beta^{\pm}(j, k) &= \pm \frac{1}{\sqrt{2}} (\hat{\mathbf{j}} - \hat{\mathbf{k}}), \\ \gamma^{\pm}(j) &= \pm \sqrt{2} \hat{\mathbf{j}}.\end{aligned}$$

(e) Show that the simple roots of  $\mathfrak{usp}(2n)$  are given by

$$\begin{aligned}\beta^+(j, j+1) &= \pm \frac{1}{\sqrt{2}} (\hat{\mathbf{j}} - \widehat{\mathbf{j}+1}), \quad 1 \leq j \leq n-1, \\ \gamma^+(n) &= \sqrt{2} \hat{\mathbf{n}}.\end{aligned}$$

(f) Show that the inner products of the simple roots are given by

$$\begin{aligned}\beta^+(j, j+1) \cdot \beta^+(k, k+1) &= \begin{cases} 1, & j = k \\ -1/2, & |j - k| = 1, \\ 0, & \text{otherwise,} \end{cases} \\ \beta^+(j, j+1) \cdot \gamma^+(n) &= \begin{cases} -1, & j = n-1 \\ 0, & \text{otherwise,} \end{cases} \\ \gamma^+(n) \cdot \gamma^+(n) &= 2.\end{aligned}$$

(g) Show that the Dynkin diagram for  $\mathfrak{usp}(2n)$  is as shown in Figure 4.

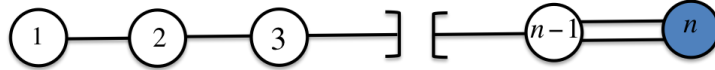


Figure 4: Dynkin diagram for  $\mathfrak{usp}(2n)$

14. The exceptional Lie algebra  $G_2$  has two simple roots,  $\alpha$  and  $\beta$ , which satisfy

$$\frac{(\alpha \cdot \beta)^2}{\alpha^2 \beta^2} = \frac{3}{4}.$$

Determine the positive roots of  $G_2$  and show that  $G_2$  has dimension equal to 14.