

# The Casson-Walker-Lescop Invariant as a Quantum 3-manifold Invariant

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## Abstract

Let  $Z(M)$  be the 3-manifold invariant of Le, Murakami and Ohtsuki. We give a direct computational proof that the degree 1 part of  $Z(M)$  satisfies  $Z_1(M) = \frac{(-1)^{b_1(M)}}{2} \lambda_M$ , where  $b_1(M)$  denotes the first Betti number of  $M$  and where  $\lambda_M$  denotes the Lescop generalization of the Casson-Walker invariant of  $M$ . Moreover, if  $b_1(M) = 2$ , we show that  $Z(M)$  is determined by  $\lambda_M$ .

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# 1 Introduction and Statement of Results

A *universal* invariant of 3-manifolds, denoted by  $Z(M)$ , taking values in the graded (completed) algebra of Feynman diagrams,  $A(\emptyset)$ , was introduced by T. Le, J. Murakami and T. Ohtsuki, [LMO]. The map  $M \mapsto Z(M)$ , when restricted to integral homology spheres, was shown by Le in [L1] to be the universal invariant of finite type (in the sense of T. Ohtsuki, [O]). In particular, this map induces an isomorphism from the vector space, which has as basis the set of diffeomorphism classes of oriented homology spheres (completed with respect to the Ohtsuki filtration), to  $A(\emptyset)$ . Thus the invariant  $Z(M)$  is a rich source of information, for homology spheres.

On the other hand, in [H],  $Z(M)$  was computed for 3-manifolds  $M$  whose first betti number,  $b_1(M)$ , is greater than or equal to 3. More precisely, it was shown that  $Z(M) = 1$ , if  $b_1(M) > 3$ , and that  $Z(M) = \sum_n \lambda_M^n \gamma_n$ , if  $b_1(M) = 3$ . Here  $\gamma_n$  denotes a certain nontrivial element of  $A_n(\emptyset)$ , and  $\lambda_M$  denotes the Lescop invariant of  $M$ , [Ls]. Lescop's invariant is a generalization to all oriented 3-manifolds of the Casson-Walker invariant of (rational) homology 3-spheres.

More recently, S. Garoufalidis and the first author, [GH], computed the universal invariant of a 3-manifold  $M$ , satisfying  $H_1(M, \mathbf{Z}) = \mathbf{Z}$ , in terms of the Alexander polynomial of  $M$ .

In this paper, we fill in the missing gap for  $b_1(M) = 2$ . Namely, we have the following:

**Theorem 1** *There exist non-zero  $\mathcal{H}_n \in A_n(\emptyset)$ , such that for all closed oriented  $M$  satisfying  $b_1(M) = 2$ , one has  $Z(M) = \sum_n \lambda_M^n \mathcal{H}_n$ , where  $\lambda_M$  denotes the Lescop invariant of  $M$ .*

In order to describe the element  $\mathcal{H}_n$  of  $A_n(\emptyset)$ , we first recall that in [LMO], maps  $\iota_n: A_{n\ell+i}(\prod_{i=1}^\ell S^1) \rightarrow A_i(\emptyset)$  were defined for all  $i \geq 0$ . (We set  $\iota_n = 0$  otherwise.) We denote by  $p_\ell: A(\prod_{i=1}^\ell I) \rightarrow A(\prod_{i=1}^\ell S^1)$  the quotient mapping. We set  $\mathcal{H}_0 = 1$ , and  $\mathcal{H}_n = \iota_n(p_2(\chi(\frac{H_{12}^n}{2^n n!}))) \in A_n(\emptyset)$ , where  $H_{12}$  is the chinese character of degree 3 which is given by the 'H' diagram below.

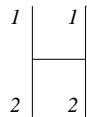


Figure 1 *The chinese character  $H_{12}$ .*

Note that  $A_1(\emptyset)$  is 1-dimensional and that  $A_1(\emptyset)^{\otimes n}$  is a direct summand of  $A_n(\emptyset)$ . Moreover, it is easily seen from the definition of  $\iota_n$  that the image of  $\mathcal{H}_n$  in  $A_1(\emptyset)^{\otimes n}$  is nonzero. Hence  $\mathcal{H}_n$  is nonzero.

In [HM], G. Masbaum and the first author investigated the relation between finite type invariants and Milnor's  $\bar{\mu}$ -invariants of string-links. It was shown, for example, that the coefficient of  $H_{12}$  in (the tree-like part of) the Kontsevich expansion (the universal finite type invariant of tangles) of a (string) link with vanishing linking numbers, is *half* the Milnor invariant  $\mu_{1122}$  in the classical index notation. (In fact, [HM] was motivated by early attempts by the first author to establish Theorem 1.)

This recent advance makes it possible to prove theorem 1 and to give a direct proof of the fact, first proven in [LMO], that the linear term in the *LMO* expansion is, up to a factor, the Casson-Walker-Lescop invariant. Namely we prove

**Theorem 2**  $Z_1(M) = \frac{(-1)^{b_1(M)}}{2} \lambda_M.$

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## 2 The universal finite type invariant

This section provides a brief review of some known facts about the universal finite type 3-manifold invariant.

A *tangle* in a manifold  $M$  is a smooth compact 1-manifold  $X$ , and a smooth embedding  $T: (X, \partial X) \rightarrow (M^3, \partial M^3)$ , transverse to the boundary. If  $X = \coprod_{i=1}^{\ell} I$ , and  $M = D^2 \times I$  and the smooth embedding is a fixed standard embedding on the boundary, the tangle is called a *string link*. A *framed tangle* is a tangle with a framing which restricts to a predetermined, fixed framing on the boundary. (The use of the term framing is slightly abusive here, as we actually only require a single non-vanishing section of the normal bundle.)

Note that tangles may have empty boundary, so that links are special cases of tangles. By gluing a string link  $\sigma$ , along the boundary, with the trivial string link, we have the notion of the *closure*  $\hat{\sigma}$  of  $\sigma$ .

We refer the reader to [B1] [B2] for the definition of the (graded, completed)  $\mathbf{Q}$ -coalgebra  $\mathcal{A}(X)$  of Feynman diagrams on the 1-manifold  $X$ . Elements of  $\mathcal{A}(X)$  are represented by linear combinations of vertex-oriented diagrams  $X \cup \Gamma$ , subject to the AS and IHX relations. Here  $\Gamma$  is a uni-trivalent graph, whose univalent vertices lie in the interior of  $X$ . It is customary to refer to the trivalent vertices of  $\Gamma$  as

*internal vertices* and to the univalent vertices of  $\Gamma$  as *external vertices*. It is also customary to refer to the components of  $X$  as *solid*, and to the components of  $\Gamma$  as *dashed*. The space  $\mathcal{A}(X)$  is graded by the degree, where the degree of a diagram is half the number of vertices of  $\Gamma$ . The degree  $n$  part of  $\mathcal{A}(X)$  will be denoted by  $\mathcal{A}_n(X)$ .

We will denote  $\mathcal{A}(\coprod_{i=1}^{\ell} I)$  by  $\mathcal{A}(\ell)$ . Note that  $\mathcal{A}(\ell)$  has a juxtaposition product (and is thus a Hopf algebra) given by stacking diagrams. An inclusion of  $I$  in  $X$  defines an injection of  $\mathcal{A}(1)$  into  $\mathcal{A}(X)$ , and an action of  $\mathcal{A}(1)$  on  $\mathcal{A}(X)$ . For  $X = S^1$ , such an inclusion induces an isomorphism of  $\mathcal{A}(1)$  with  $\mathcal{A}(S^1)$ , which thus inherits a product (and Hopf algebra) structure.  $\mathcal{A}(\emptyset)$  has a product given by disjoint union.

In [LM2], (see also [LM1]), T. Le and J. Murakami constructed an invariant  $Z(T) \in \mathcal{A}(X)$ , a version of the Kontsevich integral, for any framed  $q$ -tangle  $T: X \rightarrow \mathbf{R}^2 \times I$ . (This was denoted by  $\check{Z}_f(T)$  in [LM2] and should not be confused with its precursor  $Z_f(T)$ .)

In [LMO], Le, Murakami and Ohtsuki give a 3-manifold invariant, called the *LMO invariant*, defined as follows:

$$Z_n(M) = \left[ \frac{\iota_n(\check{Z}(L))}{(\iota_n(\check{Z}(U_+)))^{\sigma_+} (\iota_n(\check{Z}(U_-)))^{\sigma_-}} \right]^{(n)} \in \mathcal{A}_n(\emptyset). \quad (1)$$

Here  $\xi^{(n)}$  denotes the degree  $n$  part of  $\xi \in \mathcal{A}(\emptyset)$ .  $L \subset S^3$  is a framed link such that surgery on  $L$  gives the 3-manifold  $M$ .  $\check{Z}(L) = \nu^{\otimes \ell} Z(L)$  is obtained by successively taking the connected sum of  $Z(L)$  with  $\nu$  along each component of  $L$ , where  $\nu$  is the value of  $Z$  on the unknot with zero framing.  $U_{\pm}$  is the trivial knot with  $\pm 1$ -framing.  $\sigma_{\pm}$  is the dimension of the positive and negative eigenspaces of the linking matrix for the framed link  $L: \coprod_{i=1}^{\ell} S^1 \rightarrow \mathbf{R}^3$ .

$$\iota_n: \mathcal{A}(\coprod_{i=1}^{\ell} S^1) \rightarrow \mathcal{A}(\emptyset)$$

is a map defined in [LMO]. The map  $\iota_n$ , although rather complicated, is more transparent when evaluated on Chinese characters, (see below).

Recall that there is a natural isomorphism  $\chi$  of  $\mathcal{A}(\ell)$  with  $\mathcal{B}(\ell)$ , the  $\mathbf{Q}$  (Hopf) algebra of so-called *Chinese characters*, i.e., uni-trivalent (dashed) graphs (modulo AS and IHX relations), whose trivalent vertices are oriented, and whose univalent vertices are labelled by elements of the set  $\{1, \dots, \ell\}$ .  $\chi$  is given by mapping a chinese character to the average of all of the ways of attaching its labelled edges to the  $\ell$  strands.  $\chi$  is comultiplicative, but *not* multiplicative.

One has the following formula, (see [L2]): For  $i \geq 0$ , the composite

$$\mathcal{B}_{n\ell+i}(\ell) \xrightarrow{\chi} \mathcal{A}_{n\ell+i}(\ell) \xrightarrow{p\ell} \mathcal{A}_{n\ell+i}(\prod_{i=1}^{\ell} S^1) \xrightarrow{\iota_n} \mathcal{A}_i(\emptyset)$$

is zero on those characters which do not have exactly  $2n$  univalent vertices of each label, and on characters which do have exactly  $2n$  univalent vertices of each label is given by the formula

$$\xi \mapsto O_{-2n}(\langle \xi \rangle),$$

where  $\langle \xi \rangle$  denotes the sum of all ways of joining the univalent vertices of  $\xi$ , having the same label, in pairs, and  $O_{-2n}$  is the map which sets circle components equal to  $-2n$ .

### 3 Proof of Theorem 1

Let  $M$  be a 3-manifold obtained by surgery on an algebraically split link  $L$  in  $S^3$  (i.e.,  $L$  has vanishing pairwise linking numbers). We begin by expressing the Lescop invariant of  $M$  in terms of the Milnor invariants of  $L$ , assuming that  $b_1(M) = 2$ . See [C] for geometric interpretations of the Milnor invariants  $\mu_{ijk}$  and  $\mu_{iijj}$  of a link.

For an abelian group  $H$ , let  $|H|$  denote its cardinality if this is finite and zero otherwise.

**Lemma 3** *Let  $L$  be an  $\ell$ -component algebraically split link with framing  $\mu_{ii}$  on the  $i$ -th component, such that  $\mu_{11} = \mu_{22} = 0$  and  $\mu_{ii} \neq 0, i > 2$ . Let  $M = S^3(L)$  be the 3-manifold obtained by surgery on  $L$ .*

*Then*

$$\lambda_M = \left| \prod_{i=3}^{\ell} \mu_{ii} \right| \left( \sum_{i=3}^{\ell} \frac{\mu_{12i}^2}{\mu_{ii}} + \mu_{1122} \right).$$

**Proof:** Recall that in case  $b_1(M) = 2$  (see [Ls], T5.2), one has that

$$\lambda_M = -|\text{Torsion}(H_1(M))| \text{lk}_M(\gamma, \gamma_+).$$

Here,  $\gamma$  denotes the framed curve obtained by intersecting two surfaces whose homology classes generate  $H_2(M)$ .  $\gamma_+$  denotes the parallel (using the framing) of  $\gamma$ . Note that  $|\text{Torsion}(H_1(M))| = \left| \prod_{i=3}^{\ell} \mu_{ii} \right|$ . Thus it remains to show that  $\text{lk}_M(\gamma, \gamma_+) = \sum_{i=3}^{\ell} \frac{\mu_{12i}^2}{\mu_{ii}} + \mu_{1122}$ .

Since  $L$  is algebraically split, we may find Seifert surfaces for each component avoiding the other components. The surfaces bounding the first two components may be capped off in  $M$  with disks, to yield closed surfaces representing the generators of  $H_2(M, \mathbf{Z})$ . Thus, we must compute  $\text{lk}_M(\gamma, \gamma_+)$ , where  $\gamma$  denotes the intersection of these two Seifert surfaces. It follows from Cochran, [C], that  $\text{lk}_{S^3}(\gamma, \gamma_+) = -\mu_{1122}$ .

From the geometric interpretation of triple Milnor invariants in terms of the intersection of three Seifert surfaces, one has that  $\text{lk}_{S^3}(\gamma, L_i) = \text{lk}_{S^3}(\gamma_+, L_i) = -\mu_{12i}$ . Let  $m_i$ , resp.  $l_i$ , denote the meridian, resp. longitude, of the  $i$ -th component. Note that since we are doing surgery on the  $i$ -th component with framing  $\mu_{ii}$ , the cycle

$\mu_{ii}m_i + l_i$  bounds in the complement of  $\gamma_+$  and all other components of  $L$ . Therefore one obtains the formula

$$\begin{aligned} \text{lk}_M(\gamma, \gamma_+) &= -\sum_{i=3}^{\ell} \mu_{12i} \text{lk}_M(m_i, \gamma_+) + \text{lk}_{S^3}(\gamma, \gamma_+) \\ &= -\sum_{i=3}^{\ell} \frac{\mu_{12i}}{-\mu_{ii}} \text{lk}_{S^3}(l_i, \gamma_+) - \mu_{1122} \\ &= -\left( \sum_{i=3}^{\ell} \frac{\mu_{12i}^2}{\mu_{ii}} + \mu_{1122} \right). \end{aligned}$$

□

**Proof of Theorem 1:** It is sufficient to compute  $Z_n(M)$  for the case when the linking matrix of  $L$  is diagonal. (Indeed, given any symmetric bilinear form over the integers, one can find a diagonal matrix  $D$  having non-zero determinant, such that the given form becomes diagonalizable after taking the direct sum with  $D$  (see [M], lemma 2.2). Let  $L'$  denote a link whose linking matrix is  $D$ . Then  $L \sqcup L'$  is equivalent to an algebraically split link  $L''$ , via handle sliding ([M], corollary 2.3). Let  $M'' = S^3(L'')$  and  $M' = S^3(L')$ . The formula for connected sum (see [LMO]) gives

$$Z_n(M'') = Z_n(M) |H_1(M')|^n.$$

On the other hand, one has that

$$\lambda_{M''} = \lambda_M |H_1(M')|.$$

Since  $|H_1(M')| = |\det D| \neq 0$ , it follows that the theorem holds for  $M$ , provided it holds for  $M''$ .

From now on suppose that  $L$  is an  $\ell$ -component algebraically split link with framing  $\mu_{ii}$  on the  $i$ -th component, such that  $\mu_{11} = \mu_{22} = 0$  and  $\mu_{ii} \neq 0, i > 2$ .

It follows from [LMO] (which can also be seen from what follows) that the numerator in the definition of  $Z_n(M)$ ,  $\iota_n(\nu^{\otimes \ell} Z(L))$ , vanishes in degrees  $< n$ . Thus we obtain that

$$Z_n(M) = (-1)^{n\sigma_+} \iota_n(\nu^{\otimes \ell} Z(L))^{(n)}.$$

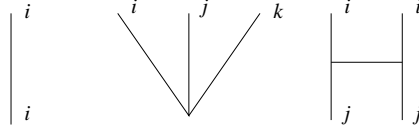
One has (see [LM2]) that  $Z(L) = p_{\ell}(Z(\sigma)\nu_{\ell})$ , where  $\sigma$  is any string link whose closure is  $L$ . Here  $\nu_{\ell} \in \mathcal{A}(\ell)$  is obtained from  $\nu = \nu_1$  by the operator which takes a diagram on the interval to the sum of all lifts of vertices to each of the  $\ell$  intervals.

We claim that

$$\iota_n(p_{\ell}(\nu^{\otimes \ell} Z(L)))^{(n)} = \iota_n(p_{\ell}(Z(\sigma)\nu_{\ell}\nu^{\otimes \ell}))^{(n)}$$

$$= \sum_{\substack{m_i \\ m_3 + \dots + m_\ell + m_H = n}} \left( \prod_{k=3}^{\ell} \frac{\mu_{12k}^{2m_k} (\mu_{kk}/2)^{n-m_k}}{(2m_k)! (n-m_k)!} \right) \frac{(\mu_{1122}/2)^{m_H}}{m_H!} \iota_n(p_\ell(\chi(\xi_{m_3, \dots, m_\ell, m_H}))),$$

where  $\xi_{m_3, \dots, m_\ell, m_H} = W_{123}^{2m_3} \dots W_{12\ell}^{2m_\ell} H_{12}^{m_H} I_3^{n-m_3} \dots I_\ell^{n-m_\ell}$ , and where the chinese characters  $I_i$ ,  $W_{ijk}$  and  $H_{ij}$  are drawn below.



To see this, note that the coefficients of the chinese characters  $I_i$ ,  $W_{ijk}$  and  $H_{ij}$  in the Kontsevich integral are  $\mu_{ii}/2$ ,  $-\mu_{ijk}$  and  $\mu_{iiij}/2$ , respectively, (see [HM]). (N.b., since  $\mu_{ij} = 0$ ,  $i \neq j$ , the Milnor invariants  $\mu_{ijk}$  and  $\mu_{iiij}$  are well defined integers.) It follows that one has

$$\chi^{-1}(Z(\sigma)) = \exp \left( \sum_{k=3}^{\ell} (\mu_{ii}/2) I_i - \sum_{k=3}^{\ell} \mu_{12k} W_{12k} + (\mu_{1122}/2) H_{12} + \epsilon \right).$$

(The chinese character  $I_{ij}$ , i.e. the interval whose vertices are labelled with  $i$  and  $j$ , does not appear in the above expansion, since  $\mu_{ij} = 0$ ,  $i \neq j$ .) Here the exponential is taken with respect to the disjoint union multiplication in  $B(\ell)$ .  $\epsilon$  consists of terms which are either not trees, are trees of degree  $> 3$ , or are trees of degree 3 involving some index other than 1 and 2. These terms will not be involved in the computation, as they contribute too many vertices (see below). A similar formula holds for  $\chi^{-1}(Z(\sigma)\nu_\ell\nu^{\otimes \ell})$ .

The result claimed above now follows easily, upon remarking that the chinese characters  $\xi_{m_3, \dots, m_\ell, m_H}$ , with  $m_3 + \dots + m_\ell + m_H = n$ , are the only terms from the exponential which have  $2n$  vertices of each label and have at most  $2n$  internal vertices. (Indeed, since the characters  $I_{ij}$ ,  $I_1$  and  $I_2$  do not appear, the only way to obtain  $2n$  vertices of label 1 and 2, and at most  $2n$  internal vertices is to use the characters  $W_{12k}$  and  $H_{12}$ . Moreover, using these will yield exactly  $2n$  internal vertices. Since no other internal vertices are then allowed, the remaining vertices labelled  $k$  must be obtained using the character  $I_k$ , and since the vertices of  $I_k$  come in pairs, it follows that  $W_{12k}$  must occur an even number of times.)

Denote by  $j_n^k : \mathcal{B}(k) \rightarrow \mathcal{B}(k-1)$  the map which is zero, if there are not exactly  $2n$  univalent vertices labelled  $k$ , and otherwise is given by the sum over all  $(2n-1)!!$  ways of joining the  $2n$  univalent vertices labelled  $k$  in pairs, and then replacing every circle component by  $-2n$ . Then  $\iota_n(p_\ell(\chi(x))) = j_n^1 \circ \dots \circ j_n^\ell(x)$ , for  $x \in \mathcal{B}(\ell)$ .

The theorem is an immediate consequence of the following formula

$$\frac{j_n^3 \circ j_n^4 \circ \dots \circ j_n^\ell (\xi_{m_3, \dots, m_\ell, m_H})}{\prod_{k=3}^{\ell} 2^{n-m_k} (n-m_k)! (2m_k)!} = \frac{(-1)^{n\ell}}{\prod_{k=3}^{\ell} 2^{m_k} m_k!} H_{12}^n. \quad (2)$$

Indeed, using (2), which follows from repeated use of Lemma 4 below, we obtain that

$$\begin{aligned}
Z_n(M) &= (-1)^{n\sigma_+} \iota_n(\nu^{\otimes \ell} Z(L))^{(n)} \\
&= (-1)^{n\sigma_+ + n\ell} \left( \prod_{i=3}^{\ell} \mu_{ii} \right)^n \sum_{\substack{m_i \\ m_3 + \dots + m_{\ell} + m_H = n}} \left( \prod_{k=3}^{\ell} \frac{(\mu_{12k}^2 / 2\mu_{kk})^{m_k}}{(m_k)!} \right) \frac{(\mu_{1122}/2)^{m_H}}{m_H!} j_n^1 \circ j_n^2(H_{12}^n) \\
&= \frac{|\prod_{i=3}^{\ell} \mu_{ii}|^n}{2^n n!} \left( \sum_{k=3}^{\ell} \frac{\mu_{12k}^2}{\mu_{kk}} + \mu_{1122} \right)^n j_n^1 \circ j_n^2(H_{12}^n) \\
&= \lambda_M^n \iota_n(p_2(\chi(\frac{H_{12}^n}{2^n n!}))).
\end{aligned}$$

□

**Lemma 4** For  $i \geq 3$ , set  $W_{12i} = W$ ,  $H_{12} = H$  and  $I_i = I$ . Then

$$\frac{j_n^i(W^{2m} I^{n-m})}{(2m)! 2^{n-m} (n-m)!} = \frac{(-1)^n}{2^m m!} H^m. \quad (3)$$

**Proof:** Let  $J_n^i$  be as in the definition of  $j_n^i$ , but without the requirement that we must have exactly  $2n$  vertices to be joined. To compute  $J_n^i(W^{2m} I^k)$ , let us fix an  $i$ -labeled univalent vertex of  $I^k$ . This vertex can either be paired with one of the  $2m$   $i$ -labeled vertices of  $W^{2m}$ , or with one of the remaining  $2k-1$  vertices of  $I^k$  (one of which results in a circle component). Replacing the circle component by  $-2n$ , we have that

$$J_n^i(W^{2m} I^k) = (2m + 2k - 2 - 2n) J_n^i(W^{2m} I^{k-1}),$$

and hence inductively we have

$$j_n^i(W^{2m} I^{n-m}) = (-2)^{n-m} (n-m)! J_n^i(W^{2m}). \quad (4)$$

Similarly, we have that

$$J_n^i(W^{2m}) = -(2m-1) J_n^i(W^{2m-2}) H,$$

and hence inductively that

$$J_n^i(W^{2m}) = (-1)^m (2m-1)!! H^m. \quad (5)$$

Hence, combining equations (4) and (5), we obtain

$$j_n^i(W^{2m} I^{n-m}) = (-1)^n 2^{n-m} (n-m)! (2m-1)!! H^m,$$

which is equivalent to equation (3), since  $(2m-1)!! 2^m m! = (2m)!$ . □



## 4 Proof of Theorem 2

We will denote by  $o(n)$  terms of degree greater than or equal to  $n$ .

In low degrees, the Kontsevich expansion of the trivial knot can be computed by applying the Alexander-Conway weight system. One obtains the formula

$$\chi^{-1}(\nu) = 1 + \frac{\phi_1}{48} + o(4).$$

From this it follows (see below) that

$$\iota_1(\check{Z}(U_{\pm})) = \mp 1 + \frac{\Theta}{16} + o(2).$$

The graphs  $\phi_i$  and  $\Theta$  are depicted in Figure 2.

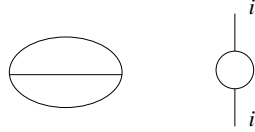


Figure 2 *The graph  $\Theta$  and the chinese character  $\phi_i$ .*

**Proof of Theorem 2:** Let  $M = S^3(L)$ , where  $L$  is an  $\ell$ -component algebraically split link which is the closure of a string link  $\sigma$ . (The general case reduces to this one by the same argument as in the proof of Theorem 1, using the connected sum formulas for  $Z_1$  and  $\lambda$ , see [LMO], [Ls].)

We wish to calculate

$$Z_1(M) = \left[ \frac{\iota_1(p_\ell(Z(\sigma)\nu_\ell\nu^{\otimes \ell}))}{(-1 + \frac{\Theta}{16})^{\sigma_+}(1 + \frac{\Theta}{16})^{\sigma_-}} \right]^{(1)}.$$

Denote by  $\epsilon$  terms in  $\chi^{-1}(\log(Z(\sigma)))$  which either have more than 2 internal vertices, or have 2 internal vertices but an odd number of external vertices with a given label. (Such terms do not contribute to the end expression.) Then we have

$$Z(\sigma) = \exp \left( \chi \left( \sum_{i=1}^{\ell} \frac{\mu_{ii}}{2} I_i - \sum_{i < j < k} \mu_{ijk} W_{ijk} + \sum_{i < j} \frac{\mu_{ijj}}{2} H_{ij} - \sum_i \frac{a_1^{(i)}}{2} \phi_i + \epsilon \right) \right).$$

The coefficient  $a_1^{(i)}$  can be calculated by applying the Alexander-Conway weight system (see e.g., [GH]). One has that  $a_1^{(i)} = \frac{1}{2} \Delta''(L_i)(1)$ , where  $\Delta(K)(t)$  denotes the Alexander polynomial of  $K$  (normalized to be symmetric in  $t$  and  $t^{-1}$  and taking the value 1 at  $t = 1$ ). In the above expansion of the exponential, one uses the juxtaposition product of  $\mathcal{A}(\ell)$ . Note that if we use this product to induce a second

product structure on chinese characters via  $\chi$ , denoted by  $\cdot_\times$ , then, for example, one has that

$$I_k \cdot_\times I_k = I_k^2 + \frac{1}{6}\phi_k.$$

One deduces that

$$\chi^{-1}(Z(\sigma)\nu_\ell\nu^{\otimes\ell}) = \exp\left(\sum_{i=1}^{\ell}\frac{\mu_{ii}}{2}I_i - \sum_{i<j<k}\mu_{ijk}W_{ijk} + \sum_{i<j}\frac{\mu_{iijj}}{2}H_{ij} + \sum_i b^{(i)}\phi_i + \epsilon\right),$$

where

$$b^{(i)} = 2\frac{1}{48} + \frac{1}{6}\frac{1}{2!}\left(\frac{\mu_{ii}}{2}\right)^2 - \frac{a_1^{(i)}}{2} = \frac{2 + \mu_{ii}^2 - 24a_1^{(i)}}{48}.$$

Here the exponential is expanded using the disjoint union product in  $\mathcal{B}(\ell)$ . (Here again,  $\epsilon$  denotes terms which either have more than 2 internal vertices, or have 2 internal vertices, but an odd number of external vertices with a given label.)

It follows that one has

$$\iota_1(\check{Z}(L)) = (-1)^\ell \left( \prod_{i=1}^{\ell} \mu_{ii} + c(L)\Theta \right) + o(2), \quad (6)$$

where the coefficient of  $\Theta$ ,  $c(L)$ , is given by

$$c(L) = -\sum_i b^{(i)} \prod_{j \neq i} \mu_{jj} + \sum_{i < j} \frac{\mu_{iijj}}{2} \prod_{k \neq i, j} \mu_{kk} + \sum_{i < j < k} \frac{\mu_{ijjk}^2}{2} \prod_{t \neq i, j, k} \mu_{tt}.$$

Hence

$$\begin{aligned} Z_1(M) &= \left[ (-1)^{\sigma_+} \left( 1 + \frac{(\sigma_+ - \sigma_-)}{16} \Theta \right) (-1)^\ell \left( \prod_{i=1}^{\ell} \mu_{ii} + c(L)\Theta \right) \right]^{(1)} \\ &= (-1)^{b_1(M) + \sigma_-} \left( \frac{(\sigma_+ - \sigma_-)}{16} \prod_{i=1}^{\ell} \mu_{ii} + c(L) \right) \Theta \\ &= \frac{(-1)^{b_1(M)}}{2} \lambda_M \Theta, \end{aligned}$$

where

$$\lambda_M = \frac{|H_1(M)|(\sigma_+ - \sigma_-)}{8} + (-1)^{\sigma_-} 2c(L).$$

Note that  $\lambda_M$  is indeed Lescop's generalization of the Casson-Walker invariant. In the special case of an algebraically split link in the 3-sphere, Lescop's surgery formula ([Ls] 1.4.8) is given as follows:

Let  $I$  be a subset of  $\{1, \dots, \ell\}$ . Denote by  $L_I$  the link obtained from  $L$  by forgetting the components whose subscripts do not belong to  $I$ . Then

$$\lambda_M = \frac{|H_1(M)| (\sigma_+ - \sigma_-)}{8} + (-1)^{\sigma_-} \left[ \sum_i \left( \zeta(L_{\{i\}}) - \frac{1}{24} (\mu_{ii}^2 + 1) \right) \prod_{j \neq i} \mu_{jj} + \sum_{I, |I| \neq 0, 1} \zeta(L_I) \prod_{j \in I} \mu_{jj} \right].$$

Note that in the algebraically split case, the values of the  $\zeta$  function are given as follows.

$$\zeta(L_I) = \begin{cases} a_1^{(i)} - \frac{1}{24} & : I = \{i\} \\ \mu_{iijj} & : I = \{i, j\} \\ \mu_{iijk}^2 & : I = \{i, j, k\} \\ 0 & : |I| > 4 \end{cases}$$

This can easily be established using the results in ([Ls], chapter 5).  $\square$

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