

# Sequential Locally Recoverable Codes for Multiple Erasures from Finite Geometry

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# Overview

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# Background

## Locally Repairable Codes (LRCs)

### Definition

For  $\mathcal{C}$  a  $[n, k]$ -linear code over  $\mathbb{F}_q$  and  $i \in [n]$ , a subset  $R_i \subseteq [n] \setminus \{i\}$  is called an  $(r, \mathcal{C})$ -**recovery set** of  $i$  if:

- $|R_i| \leq r$ ,
- for all  $j \in R_i$  there exist  $a_j \in \mathbb{F}_q$  such that for all  $(x_1, \dots, x_n) \in \mathcal{C}$ ,

$$x_i = \sum_{j \in R_i} a_j \cdot x_j.$$

We say a code  $\mathcal{C}$  has **locality**  $r$  if every symbol of  $\mathcal{C}$  has an  $(r, \mathcal{C})$ -recovery set.

A code with some locality  $r$  (preferably with  $r < k$ ) is called a **locally repairable code (LRC)**.

# Background

## Parallel Locally Repairable Codes (PLRCs)

### Definition

For  $i \in [n]$ , the number of  $(r, \mathcal{C})$ -recovery sets of  $i$ , denoted  $a(i)$ , is called the **repair alternativity**.

The **repair alternativity** of  $\mathcal{C}$  is defined to be  $a = \min \{a(i)\}_{i \in [n]}$ .

### Definition

An  $[n, k]$  linear code  $\mathcal{C}$  is said to be an  **$(n, k, r, t)$ -parallel locally repairable code (PLRC)** if for each  $E \subseteq [n]$  of size  $|E| \leq t$ , each  $i \in E$  has a  $(r, \mathcal{C})$ -recovery set  $R_i \in [n] \setminus E$ .

# Background

## Sequential Locally Repairable Codes (SLRCs)

### Definition

For an ordered set  $E = \{i_1, \dots, i_t\} \subseteq [n]$ ,  $\mathcal{C}$  is said to be  **$(E, r)$ -recoverable** if each  $i_j \in E$  has an  $(r, \mathcal{C})$ -recovery set  $R_{i_j} \subseteq [n] \setminus \{i_j, i_{j+1}, \dots, i_t\}$ .

### Definition

An  $[n, k]$  linear code  $\mathcal{C}$  is said to be an  **$(n, k, r, t)$ -sequential locally repairable code (SLRC)** if for each  $E \subseteq [n]$  of size  $|E| \leq t$ ,  $\mathcal{C}$  is an  $(E, r)$ -recoverable code.

### Proposition ([5])

*$\mathcal{C}$  is an  $(n, k, r, t)$ -SLRC if and only if for any nonempty  $E \subseteq [n]$  of size  $|E| \leq t$ , there exists an  $i \in E$  such that  $i$  has a recovery set  $R_i \subseteq [n] \setminus E$ .*

# Constructions

## Product Construction

### Definition

Let  $\mathcal{A}$  and  $\mathcal{B}$  (respectively) be  $[n_1, k_1, d_1]$  and  $[n_2, k_2, d_2]$  linear codes with generator matrices  $G_A$  and  $G_B$ . Then the product code  $\mathcal{A} \times \mathcal{B}$  is the  $[n_1 \cdot n_2, k_1 \cdot k_2, d_1 \cdot d_2]$  linear code with generator matrix  $G_A \otimes G_B$ .

# Constructions

## Products of SLRCs

### Theorem

For all  $i \in [\ell]$ , let  $\mathcal{C}_i$  be an  $[n_i, k_i, d_i]$  code with locality  $r_i$  and let

$$n = \prod_{i=1}^{\ell} n_i, \quad k = \prod_{i=1}^{\ell} k_i, \quad d = \prod_{i=1}^{\ell} d_i, \quad r = \max \{r_i\}_{1 \leq i \leq \ell}$$

Then  $\mathcal{C}_1 \times \cdots \times \mathcal{C}_\ell$  is an  $[n, k, d]$ -SLRC with locality  $r$ .

### Corollary

The product of  $\ell$  codes, each with erasure correcting capacity  $t_i$ , will have erasure correcting capacity

$$t = \left( \prod_{i=1}^{\ell} t_i + 1 \right) - 1.$$

# Constructions

## General Bounds on Recovery

For  $\ell$  products of an  $[n, k]$ -MDS code, we get the following bounds on the number of recoverable erasures  $x$ :

- If  $x \leq \ell(n - k)$ , we can perform full parallel recovery.
- If  $\ell(n - k) < x < (n - k + 1)^\ell$ , we can fully sequentially recover.
- If  $(n - k + 1)^\ell \leq x \leq n^\ell - k^\ell$ , we can possibly recover depending on the erasure pattern.
- If  $n^\ell - k^\ell < x$ , we definitely can't fully recover.

In the following, let  $\mathcal{P}_q$  be the  $[q + 1, 2, q]_q$  MDS code,  $\mathcal{D}_{q,n}$  be the  $[n, n - 1, 2]_q$  MDS code, and  $\mathcal{R}_{q,n,k}$  be the  $[n, k, n - k + 1]_q$  Reed-Solomon code (which is MDS).



# Constructions

Results for  $q = 3, k \leq 10$

$q$	$k$	$r$	$\ell$	Code	$t$	Construction
3	4	2	2	[16, 4, 9]	8	$\mathcal{P}_3 \times \mathcal{P}_3$
				[12, 4, 6]	5	$\mathcal{P}_3 \times \mathcal{D}_{3,3}$
				[9, 4, 4]	3	$\mathcal{D}_{3,3} \times \mathcal{D}_{3,3}$
3	6	3	2	[16, 6, 6]	5	$\mathcal{P}_3 \times \mathcal{D}_{3,4}$
				[12, 6, 4]	3	$\mathcal{D}_{3,4} \times \mathcal{D}_{3,4}$
3	8	2	3	[64, 8, 27]	26	$\mathcal{P}_3 \times \mathcal{P}_3 \times \mathcal{P}_3$
				[48, 8, 18]	17	$\mathcal{P}_3 \times \mathcal{P}_3 \times \mathcal{D}_{3,3}$
				[36, 8, 12]	11	$\mathcal{P}_3 \times \mathcal{D}_{3,3} \times \mathcal{D}_{3,3}$
				[27, 8, 8]	7	$\mathcal{D}_{3,3} \times \mathcal{D}_{3,3} \times \mathcal{D}_{3,3}$
3	8	4	2	[20, 8, 6]	5	$\mathcal{P}_3 \times \mathcal{D}_{3,5}$
				[15, 8, 4]	3	$\mathcal{D}_{3,3} \times \mathcal{D}_{3,5}$
3	9	3	2	[16, 9, 4]	3	$\mathcal{D}_{3,4} \times \mathcal{D}_{3,4}$
3	10	5	2	[24, 10, 6]	5	$\mathcal{P}_3 \times \mathcal{D}_{3,6}$
				[18, 10, 4]	3	$\mathcal{D}_{3,3} \times \mathcal{D}_{3,6}$

Additionally, constructions for  $k = 8, r = 3, \ell = 2$  exist using BCH codes.

# Constructions

Results for  $q = 5, k \in \{4, 6\}$

$q$	$k$	$r$	$\ell$	Code	$t$	Construction
5	4	2	2	[36, 4, 25]	24	$\mathcal{P}_5 \times \mathcal{P}_5$
				[30, 4, 20]	19	$\mathcal{P}_5 \times \mathcal{R}_{5,5,2}$
				[25, 4, 16]	15	$\mathcal{R}_{5,5,2} \times \mathcal{R}_{5,5,2}$
				[24, 4, 15]	14	$\mathcal{P}_5 \times \mathcal{R}_{5,4,2}$
				[20, 4, 12]	11	$\mathcal{R}_{5,5,2} \times \mathcal{R}_{5,4,2}$
				[18, 4, 10]	9	$\mathcal{P}_5 \times \mathcal{D}_{5,3}$
				[16, 4, 9]	8	$\mathcal{R}_{5,4,2} \times \mathcal{R}_{5,4,2}$
				[15, 4, 8]	7	$\mathcal{R}_{5,5,2} \times \mathcal{D}_{5,3}$
				[12, 4, 6]	5	$\mathcal{R}_{5,4,2} \times \mathcal{D}_{5,3}$
[9, 4, 4]	3	$\mathcal{D}_{5,3} \times \mathcal{D}_{5,3}$				
5	6	3	2	[30, 6, 15]	14	$\mathcal{P}_5 \times \mathcal{R}_{5,5,3}$
				[25, 6, 12]	11	$\mathcal{R}_{5,5,3} \times \mathcal{R}_{5,5,2}$
				[24, 6, 10]	9	$\mathcal{P}_5 \times \mathcal{D}_{5,4}$
				[20, 6, 9]	8	$\mathcal{R}_{5,5,3} \times \mathcal{R}_{5,4,2}$
				[15, 6, 6]	5	$\mathcal{R}_{5,5,3} \times \mathcal{D}_{5,3}$
				[12, 6, 4]	3	$\mathcal{D}_{5,4} \times \mathcal{D}_{5,3}$

# Constructions

Results for  $q = 5, k = 8$

$q$	$k$	$r$	$\ell$	Code	$t$	Construction
5	8	4	2	[30, 8, 10]	9	$\mathcal{P}_5 \times \mathcal{D}_{5,5}$
				[25, 8, 8]	7	$\mathcal{R}_{5,5,2} \times \mathcal{D}_{5,5}$
				[20, 8, 6]	5	$\mathcal{R}_{5,4,2} \times \mathcal{D}_{5,5}$
				[15, 8, 4]	3	$\mathcal{D}_{5,5} \times \mathcal{D}_{5,3}$
5	8	2	3	[100, 8, 48]	47	$\mathcal{R}_{5,5,2} \times \mathcal{R}_{5,5,2} \times \mathcal{R}_{5,4,2}$
				[96, 8, 45]	44	$\mathcal{P}_{5,5,2} \times \mathcal{R}_{5,4,2} \times \mathcal{P}_5$
				[90, 8, 40]	39	$\mathcal{R}_{5,5,2} \times \mathcal{P}_5 \times \mathcal{D}_{5,3}$
				[80, 8, 36]	35	$\mathcal{R}_{5,5,2} \times \mathcal{R}_{5,4,2} \times \mathcal{R}_{5,4,2}$
				[75, 8, 32]	31	$\mathcal{R}_{5,5,2} \times \mathcal{R}_{5,5,2} \times \mathcal{D}_{5,3}$
				[72, 8, 30]	29	$\mathcal{R}_{5,4,2} \times \mathcal{P}_5 \times \mathcal{D}_{5,3}$
				[64, 8, 27]	26	$\mathcal{R}_{5,4,2} \times \mathcal{R}_{5,4,2} \times \mathcal{R}_{5,4,2}$
				[60, 8, 24]	23	$\mathcal{R}_{5,5,2} \times \mathcal{R}_{5,4,2} \times \mathcal{D}_{5,3}$
				[54, 8, 20]	19	$\mathcal{P}_5 \times \mathcal{D}_{5,3} \times \mathcal{D}_{5,3}$
				[48, 8, 18]	17	$\mathcal{R}_{5,4,2} \times \mathcal{R}_{5,4,2} \times \mathcal{D}_{5,3}$
				[45, 8, 16]	15	$\mathcal{R}_{5,5,2} \times \mathcal{D}_{5,3} \times \mathcal{D}_{5,3}$
				[36, 8, 12]	11	$\mathcal{R}_{5,4,2} \times \mathcal{D}_{5,3} \times \mathcal{D}_{5,3}$
[27, 8, 8]	7	$\mathcal{D}_{5,3} \times \mathcal{D}_{5,3} \times \mathcal{D}_{5,3}$				

# Constructions

Results for  $q = 5, k \in \{9, 10\}$

$q$	$k$	$r$	$\ell$	Code	$t$	Construction
5	9	3	2	[25, 4, 9]	8	$\mathcal{R}_{5,5,3} \times \mathcal{R}_{5,5,3}$
				[20, 4, 6]	5	$\mathcal{R}_{5,5,3} \times \mathcal{D}_{5,4}$
				[16, 4, 4]	3	$\mathcal{D}_{5,4} \times \mathcal{D}_{5,4}$
5	10	5	2	[36, 6, 10]	9	$\mathcal{P}_5 \times \mathcal{D}_{5,6}$
				[30, 6, 8]	7	$\mathcal{R}_{5,5,2} \times \mathcal{D}_{5,6}$
				[24, 6, 6]	5	$\mathcal{R}_{5,4,2} \times \mathcal{D}_{5,6}$
				[18, 6, 4]	3	$\mathcal{D}_{5,6} \times \mathcal{D}_{5,3}$

Using BCH codes, we also get the additional parameters:

$k$	$r$	$\ell$
6	2	2
8	3	2
9	2	2
10	3	2

# Resolvable Configurations

## Definition

### Definition

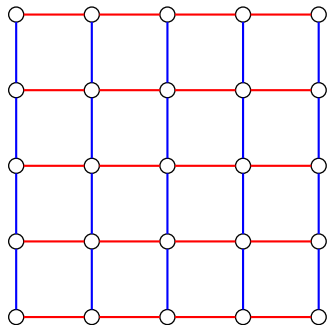
Let  $P$  be a set of **points** and  $\mathcal{B}$  be a set of **blocks** i.e. subsets of  $P$  such that:

- $|P| = v$ ,
- $|\mathcal{B}| = b$ ,
- each point is contained in  $r$  blocks,
- each block contains  $k$  points,
- the blocks can be partitioned into  $r$  **parallel classes** where the blocks of each class partition  $P$ .

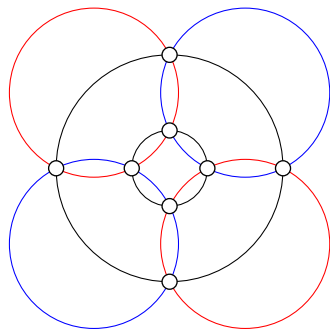
Then  $(P, \mathcal{B})$  is said to be a  **$(v_r, b_k)$ -resolvable configuration**.

# Resolvable Configurations

## Examples



(a) A  $(25_2, 10_5)$ -resolvable configuration



(b) A  $(8_3, 6_4)$ -resolvable configuration (the Miquel configuration)

# Iteration Counting

## Overview

Let  $\mathcal{C}$  be an SLRC from a resolvable configuration with  $\ell$  parallel classes, consistent block length  $b$ , and locality  $r$ ,

Given a parallel class, say we can recover all the erased points on each line provided that the line has  $r$  unerased points. Given some set of unerased points  $\mathcal{S}$  such that the full codeword can be recovered, let  $I(\mathcal{C} \mid \mathcal{S})$  count (with repetition) the minimum number of necessary parallel classes of  $\mathcal{C}$  used sequentially to fully recover the full codeword.

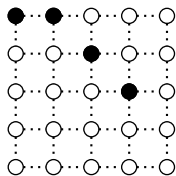
Then let  $I(\mathcal{C})$  be the maximum of these over all such sets  $\mathcal{S}$ .

Since a product of  $\ell$   $[n, k, d]$  MDS codes can be represented as an  $\ell$ -dimensional  $n \times \cdots \times n$  grid of points where each line can be fully recovered given  $r = k$  unerased vertices, we can also consider the number  $I(n, \ell, r)$  specific to this construction.

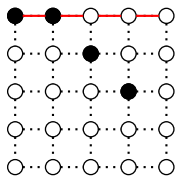
# Iteration Counting

## Example

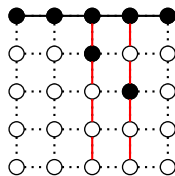
For a square with  $n = 5$ ,  $\ell = 2$ , and  $r = 2$  (e.g.  $\mathcal{R}_{5,5,2} \times \mathcal{R}_{5,5,2}$ ). Black vertices represent un-erased symbols, white represent erased symbols. Red lines indicate the lines being recovered.



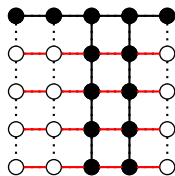
(a) Initial pattern



(b) First iteration



(c) Second iteration



(d) Third iteration

i.e. here  $I(\mathcal{C} \mid \mathcal{S}) = 3$  and in fact  $I(5, 2, 2) = 3$  so this is a worst-case scenario.



# Iteration Counting

## Results and Conjectures

- $I(n, 1, r) = 1$  for all  $n$  and all  $r$  (just a single line).
- $I(n, 2, r) \leq 2r - 1$  for all  $n$  and all  $r$ .
- $I(3, 3, 2) = 7$  (by brute force checking).
- $I(4, 3, 2) = 10$  (by brute force checking).
- $I(n, 3, 2) = 10$  for all  $n \geq 4$  (conjecture).
- $I(3, 4, 2) \geq 14$  (by pathological example).
- $\lim_{n \rightarrow \infty} I(n, \ell, r) = \infty$  if  $\ell \geq 4$  or if  $r \geq 3$  and  $\ell \geq 3$  (by specific placement of erasures; conjecture).

# Future Work

- Consider other families of codes in the construction.
- Algorithm for finding optimal sequences for recovery.
- Work out more numbers and bounds regarding the iteration counting.
- Consider iteration counting with regards to other resolvable configurations.
- Consider iteration counting when only one vertex can be recovered per  $r$  unerased vertices accessed (as opposed to the whole line).

Thank you for your attention!

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