

# Some batch code properties of the simplex code

With algorithmic proof

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## Contents of this talk:

- Introduction: data recovery, definitions of batch-type codes
- Simplex code as batch code
- Known results, conjectures, our new result
- A reformulation
- An algorithm for abelian groups
- Application to our problem
- Conclusions

# Introduction

In this talk:

- All codes are **binary**.
- We use **row vectors** for code words.

A **linear** code of **length**  $n$  and **dimension**  $k$  is a  $k$ -dimensional **subspace**  $C \subseteq \mathbb{F}_2^n$ ;

$k \times n$  **generator matrix**  $\mathbf{G}$ : **rows** are a basis for  $C$ .

**Encoding**: data  $\mathbf{a} = (a_1, \dots, a_k) \longrightarrow \mathbf{c} = (c_1, \dots, c_n) = \mathbf{a}\mathbf{G}$

Recovering data:

$$\mathbf{G} = \left[ \mathbf{g}_1^\top \quad \mathbf{g}_2^\top \quad \cdots \quad \mathbf{g}_k^\top \right]$$

If  $\sum_{i \in I} \mathbf{g}_i = \mathbf{e}_j = (0, \dots, 0, 1, 0, \dots, 0)$ ,

that is,  $\mathbf{G} \cdot \chi_I = \mathbf{e}_j^\top$ , then  $\mathbf{c} \cdot \chi_I = \mathbf{a} \cdot \mathbf{G} \cdot \chi_I = \mathbf{a} \cdot \mathbf{e}_j^\top$ ,

so  $\sum_{i \in I} c_i = a_j$ .

## Serving a request

### Definition

We say that a  $k \times n$  matrix  $\mathbf{G}$  can *serve a request*  $\mathbf{r}_1, \dots, \mathbf{r}_t$  of (not necessarily distinct) vectors in  $\mathbb{F}_2^k$  if there are **disjoint** column index sets  $I_1, \dots, I_t$  such that the columns of  $\mathbf{G}$  in  $I_j$  sum up to  $\mathbf{r}_j$ , that is,  $\sum_{i \in I_j} \mathbf{g}_i = \mathbf{r}_j$ , for  $j = 1, \dots, t$ .

Every individual request  $\mathbf{r}$  is a request for the linear combination

$$\mathbf{a}\mathbf{r}^\top = a_1\mathbf{r}_1 + \dots + a_k\mathbf{r}_k$$

of the data symbols!

## PIR/batch code definitions

An encoder (= a generator matrix  $\mathbf{G}$ ) is

1.  $t$ -PIR

2.  $t$ -batch

3.  $t$ -odd-batch

4.  $t$ -functional-batch

if  $\mathbf{G}$  can serve any request sequence consisting of

1. a  $t$ -fold repetition of a unit vector

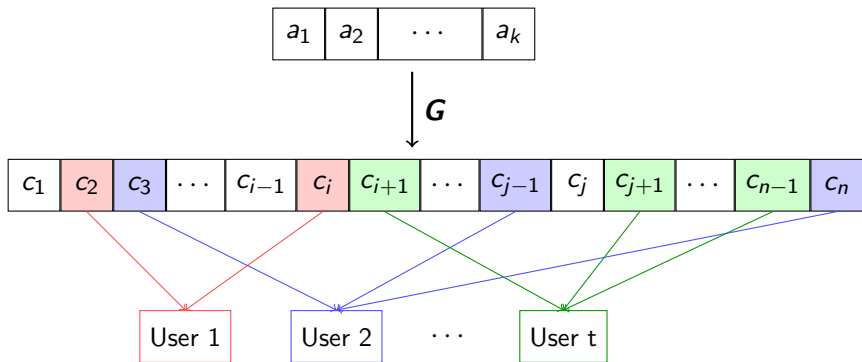
2.  $t$  unit vectors

3.  $t$  vectors of odd weight

4.  $t$  arbitrary vectors

### Proposition

A  $t$ -PIR code  $\mathbf{G}$  generates a code with minimum distance at least  $t$ .



## Simplex code

Binary simplex code of length  $n = 2^k - 1$  is the  $k$ -dimensional code with  $k \times (2^k - 1)$  generator matrix

$$\mathbf{G}_k = \begin{bmatrix} 1 & 0 & 1 & 0 & & 0 & 1 \\ 0 & 1 & 1 & 0 & & 1 & 1 \\ 0 & 0 & 0 & 1 & \cdots & 1 & 1 \\ \vdots & \vdots & \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & 0 & 0 & & 1 & 1 \end{bmatrix} = \left[ \mathbf{1}^\top \quad \mathbf{2}^\top \quad \mathbf{3}^\top \quad \cdots \quad (\mathbf{2}^k - \mathbf{1})^\top \right]$$

Simplex code has minimal distance  $2^{k-1}$ , so “optimal” is  $t = 2^{k-1}$ .



## Example

$$\mathbf{G}_2 = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix} = \begin{bmatrix} \mathbf{1}^\top & \mathbf{2}^\top & \mathbf{3}^\top \end{bmatrix}$$

$$\mathbf{a} = (a_1, a_2) \longrightarrow \mathbf{c} = (c_1, c_2, c_3) = (a_1, a_2, a_1 + a_2).$$

2-PIR:

$$\mathbf{e}_1, \mathbf{e}_1 \longleftarrow \{1\}, \{2, 3\}$$

2-batch:

$$\mathbf{e}_1, \mathbf{e}_2 \longleftarrow \{1\}, \{2\}$$

2-functional-batch:

$$\mathbf{e}_1, \mathbf{3} \longleftarrow \{1\}, \{3\}$$

$$\mathbf{3}, \mathbf{3} \longleftarrow \{1, 2\}, \{3\}$$

## Greedy does not always work

$$\mathbf{G}_3 = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}.$$

$$t = 2^{k-1} = 4.$$

Request:  $r_1 = 1, r_2 = 2, r_3 = 1, r_4 = 2$ .

Serve  $r_1 = 1$  and  $r_2 = 2$  by  $\{1\}$  and  $\{2\}$  (cheapest).

Then  $r_3 = 1$  by  $\{4, 5\}$  or  $\{6, 7\}$ ;

and  $r_4 = 2$  by  $\{4, 6\}$  or  $\{5, 7\}$ .

**Not possible!**

Use service  $\{1\}, \{4, 6\}, \{2, 3\}, \{5, 7\}$ .

## Known results, conjectures, new result

- $\mathbf{G}_k$  is  $2^{k-1}$ -batch [Wang, Kiah, Cassuto (2015)]  
computer proof for  $k \leq 8$ , then induction algorithm  
better proof wanted!
- $\mathbf{G}_k$  is  $t$ -functional-batch for  $t = \lfloor (5/6)2^{k-1} \rfloor - k$   
[Yohananov, Yaakobi (unpublished)], rather involved proof

### Conjecture

$\mathbf{G}_k$  is  $2^{k-1}$ -functional-batch. (Probably very hard!)

### Our new result:

$\mathbf{G}_k$  is  $2^{k-1}$ -odd-batch (with algorithmic proof).

**Strongest conjecture:**  $\mathbf{G}_k$  can serve every request of  $2^{k-1}$  vectors with disjoint column sets **of size at most two**.

**Note:** Requests for  $\mathbf{0}$  are **for free** (take  $I = \emptyset$ ).

**Our result:**  $\mathbf{G}_k$  can serve every request of  $2^{k-1}$  vectors **of odd weight** with disjoint column sets **of size at most two**.



**Reformulation:** Given arbitrary vectors

$\mathbf{r}_1, \dots, \mathbf{r}_{2^{k-1}}$  in  $\mathbb{F}_2^{k-1}$ ,

there are mutually distinct  $\mathbf{x}_1, \dots, \mathbf{x}_{2^{k-1}}$  in  $\mathbb{F}_2^{k-1}$

(a permutation of  $\mathbb{F}_2^{k-1}$ )

such that the non-zero vectors among

$\mathbf{y}_1 = \mathbf{x}_1 + \mathbf{r}_1, \dots, \mathbf{y}_{2^{k-1}} = \mathbf{x}_{2^{k-1}} + \mathbf{r}_{2^{k-1}}$

are also mutually distinct in  $\mathbb{F}_2^{k-1}$ .

**Approach:** Consider this as a problem in abelian groups.

## A problem in abelian groups

**We will show** (with an **extension** algorithm):

Let  $(G, +)$  be a finite abelian group, of order  $n = |G|$ . Given a sequence  $r_1, \dots, r_{n-1}$  in  $G$ , we can find **distinct**  $x_1, \dots, x_{n-1}$  in  $G$  such that  $y_1 = x_1 + r_1, \dots, y_{n-1} = x_{n-1} + r_{n-1}$  are **also distinct**.

**Extension algorithm**  $m \leftarrow m + 1$  (add  $r_0$ )

**At the start:**

$Y :$	$y$	$\dots$	$y'$	$y''$
	$\uparrow r_1$		$\uparrow r_{m-1}$	$\uparrow r_m$
$X :$	$x$	$\dots$	$x'$	$x''$

A single **service**: a **triple**  $(x, y, r)$  with  $x + r = y$ :  
pictures as an **arc** from  $x$  to  $y$  labeled  $r$ .

## The extension algorithm

**Initially:**  $t = 0$ .

Set  $X$  (size  $m \leq |G| - 2$ ) matched by  $r_1, \dots, r_m$  into set  $Y$ .

New:  $r_0$ . Take distinct  $y_{-1}, y_0$  outside  $Y$ , and set  $x_0 = y_0 - r_0$ .

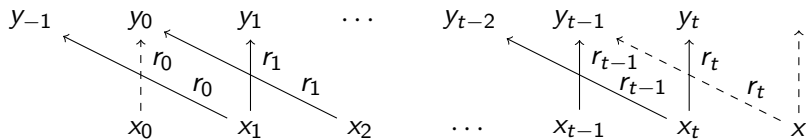
No triples ( $t = 0$ ) and  $c := x_0 + y_{-1}$ . To construct:  $x = x_1$ .

**After step  $t$**  (where  $t \geq 0$ ):

$t$  old triples (after renumbering  $r_i$ 's):  $(x_1, y_1, r_1), \dots, (x_t, y_t, r_t)$ ;

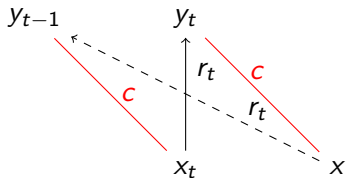
$t$  new triples:  $y_{-1} = r_0 + x_1, \dots, y_{t-2} = r_{t-1} + x_t$ ;

Constant:  $c = x_0 + y_{-1} = \dots = x_t + y_{t-1}$  on diagonals.



**Figure 1:** The extension algorithm [ $x := y_{t-1} - r_t$ ]





**Figure 2:** Crossing arcs

$$x_t + r_t = y_t$$

$$x + r_t = y_{t-1}$$

hence  $c = x_t + y_{t-1} = x + y_t$ .

So the "diagonal" relations keep on holding!

## Cases

1.  $x \notin X$ . Then the  $t$  new triples

$$(x_1, y_{-1}, r_0), \dots, (x_t, y_{t-2}, r_{t-1}),$$

the triple

$$(x, y_{t-1}, r_t),$$

and the  $(m - t)$  old triples

$$(x_{t+1}, y_{t+1}, r_{t+1}), \dots, (x_m, y_m, r_m)$$

together are a service for  $r_0, r_1, \dots, r_m$  and we are done.

2.  $x \in X \setminus \{x_1, \dots, x_t\}$ . After renumbering:  $x = x_{t+1}$ , new triple  $(x_{t+1}, y_{t+1}, r_{t+1})$ , and the “diagonal” relation  $c = x_{t+1} + y_t$ .
3.  $x \in \{x_1, \dots, x_t\}$ . This cannot occur!

Proof: If  $x = x_j$ ,  $1 \leq j \leq t$ , then  $x_j + y_{j-1} = c = x_j + y_t$  (diagonals), hence  $y_t \in \{y_0, y_1, \dots, y_{t-1}\}$ , contradiction.

## Algorithm (continued)

Since  $t \leq m$  always holds, the algorithm must end in case 1.

## Application to our problem

- Take  $(G, +) = (\mathbb{F}_2^m, +)$  where  $m = k - 1$ .
- Given  $\mathbf{r}_1, \dots, \mathbf{r}_{2^m}$ .
- Use algorithm to construct **distinct**  $\mathbf{x}_1, \dots, \mathbf{x}_{2^m-1}$  in  $\mathbb{F}_2^m$  such that  $\mathbf{y}_1 = \mathbf{x}_1 + \mathbf{r}_1, \dots, \mathbf{y}_{2^m-1} = \mathbf{x}_{2^m-1} + \mathbf{r}_{2^m-1}$  are **also distinct**.
- Let  $\mathbf{x}_{2^m}$  be such that  $\mathbb{F}_2^m = \{\mathbf{x}_1, \dots, \mathbf{x}_{2^m}\}$  (the *missing* one).
- For  $i = 1, \dots, 2^m$ , replace  $\mathbf{x}_i, \mathbf{y}_i$  by  $\mathbf{x}_i + \mathbf{a}, \mathbf{y}_i + \mathbf{a}$ ;  
take  $\mathbf{a}$  such that  $\mathbf{x}_{2^m} = \mathbf{r}_{2^m}$ , set  $\mathbf{y}_{2^m} = \mathbf{0}$ , and add the triple  $(\mathbf{r}_{2^m}, \mathbf{0}, \mathbf{r}_{2^m})$   
to complete the service for  $\mathbf{r}_1, \dots, \mathbf{r}_{2^m}$ .

## Conclusions

- We related batch-code properties of the simplex code to problems in finite additive groups.
- Simple computer-free proof-by-algorithm that the simplex code  $\mathbf{G}_k$  is (optimal)  $2^{k-1}$ -[odd]-batch, with column sets of size  $\leq 2$ .
- The conjecture that  $\mathbf{G}_k$  is even (optimal)  $2^{k-1}$ -functional-batch is very interesting, and needs everyone's attention!

See arXiv: “On some batch code properties of the simplex code”  
Conjectures on abelian groups; application of Alon's Nullstellensatz